APPENDIX 4 – ILLUSTRATIVE SHORT PART 1 FORM DEFAULT SERVICE PROGRAM REQUEST FOR PROPOSALS

PECO Energy Company ("Company" or "PECO") is intending to obtain full requirements electric supply to meet a portion of its obligations as Default Service Provider through this Request for Proposals ("RFP").

This Short Part 1 Form may only be used by an entity that has submitted a successful Part 1 Proposal in a previous solicitation under PECO's current Default Service Program ("DSP IV"). However, if there was a change in the corporate structure of the RFP Bidder, or if the identity of the entity on whose financial standing the RFP Bidder relies has changed, the RFP Bidder must use the Standard Part 1 Form.

Before completing this online Part 1 Form, please review the RFP, including the PECO Energy Company Pennsylvania Default Service Supplier Master Agreement ("Uniform SMA"), so that you understand the conditions under which the RFP will be conducted. These documents are posted at www.PECOprocurement.com.

By submitting a Part 1 Proposal in response to this RFP, you are agreeing to all terms and conditions of this RFP.

Any information provided by an RFP Bidder in the Part 1 Proposal is provided on a confidential basis to the Independent Evaluator, and may be provided on a confidential basis to the Staff of the Pennsylvania Public Utility Commission. PECO representatives will review financial information and will participate in the evaluation of the creditworthiness of each RFP Bidder. Information regarding the content or status of any Part 1 Proposal will not be released publicly or to any individual RFP Bidder during the evaluation process.

SHORT PART 1 FORM

GENERAL INSTRUCTIONS on the RFP Bidders that can use this form:

- This Short Part 1 Form is for the exclusive use of RFP Bidders that successfully submitted a Part 1 Proposal in a previous solicitation under DSP IV. If you are not eligible under this criterion, please use the Standard Part 1 Form.
- If there is a change in the corporate structure of the RFP Bidder (through a merger for example) since you last submitted a successful Part 1 Proposal in a previous solicitation under DSP IV, please use the Standard Part 1 Form.
- If the entity on whose financial standing the RFP Bidder relies changed since you last submitted a successful Part 1 Proposal in a previous solicitation under DSP IV, please use the Standard Part 1 Form.

1. Contact Information and Representations

First Item: Name and Address of the RFP Bidder

Below is the information that you previously provided. **PLEASE MAKE ANY NECESSARY UPDATES TO THE RFP BIDDER'S LEGAL NAME AND ADDRESS.** By submitting this form without making updates, you will be confirming that the previously provided information remains valid.

Legal Name of RF	P Bidder		
[pre-populated]			
Street Address			
[pre-populated]			
[pre-populated]			
City		State	Zip Code
[pre-populated]		[pre-populated]	[pre-populated]
IF THE LEGAL N	NAME OF THE RFP B IDDER HA	AS CHANGED, PLEASE PRO	VIDE EVIDENCE OF THE CHANGE
	Evidence of name change (if neces	ssary)	

Second Item: Officer of the RFP Bidder

The Officer of the RFP Bidder must be an officer, a director, or an individual otherwise authorized to undertake contracts (including the Uniform SMA) and bind the RFP Bidder. The Officer of the RFP Bidder whose contact information is provided in this online Part 1 Form must:

- make all representations required by the Part 1 Proposal requirements; and
- make all representations required by the Part 2 Proposal requirements.

Below is the information that you previously provided. **PLEASE MAKE ANY UPDATES TO THE NAME AND CONTACT INFORMATION FOR THE OFFICER OF THE RFP BIDDER.** By submitting this form without making updates, you will be confirming that the previously provided information remains valid.

First Name	Last No	ате	_
[pre-populated]	[pre-po	opulated]	
Title			_
[pre-populated]			
Street Address			
[pre-populated]			
[pre-populated]			
City		State	Zip Code
[pre-populated]		[pre-populated]	[pre-populated]
Phone No.	Email Address		
[pre-populated]	[pre-populated]		

Third Item: Representative of the RFP Bidder

The Independent Evaluator uses the Representative as the main point of contact for the RFP Bidder.

Below is the information that you previously provided. **PLEASE MAKE ANY UPDATES TO THE NAME AND CONTACT INFORMATION FOR THE REPRESENTATIVE OF THE RFP BIDDER.** The Officer of the RFP Bidder may also serve as the Representative. By submitting this form without making updates, you will be confirming that the previously provided information remains valid.

First Name	Last Name		_
[pre-populated]	[pre-populate	ed]	
Title			
[pre-populated]			
Street Address			
[pre-populated]			
[pre-populated]			
City		State	Zip Code
[pre-populated]		[pre-populated]	[pre-populated]
Phone No.	Cell Phone No. (optional)	Email Addre	ess
[pre-populated]	[pre-populated]	[pre-popula	ted]
If the individual who will serve Proposal under DSP IV, THE OF THE ONLINE PART 1 FORM TO SIREPRESENTATIVE INSERT. The l	FFICER OF THE RFP B ERVE AS THE REPRESE	IDDER MUST DESIGNATENTATIVE OF THE RFP	TE THE INDIVIDUAL NAMED IN BIDDER BY COMPLETING THE
Name of RFP Bidder REPRESENTATIVE INS THE OFFICER OF THE RIINFORMATION IS PROVIDED IN	ERT (#P1-1) FP Bidder must d	ESIGNATE THE INDI	VIDUAL WHOSE CONTACT
I, (the Officer of the RI as the Representative of the RF		gnate (name of	the Representative) to serve
Signature of Officer		Date	

Fourth Item: Nominees

The Independent Evaluator provides notifications to the RFP Bidder by email and provides documents needed for participation by secure file transfer. Any such notification will be deemed received by the RFP Bidder at the time of delivery or transmission, provided that where delivery or transmission occurs after 6 PM on a business day or occurs on a day that is not a business day, receipt will be deemed to occur at 9 AM on the following business day.

The RFP Bidder may designate up to three (3) authorized individuals (each called a "Nominee") to receive communications from the Independent Evaluator in addition to the Representative. The RFP Bidder may make such a designation at any time during the solicitation.

Nominees are designated for a single solicitation and previously submitted designations are not saved for use in subsequent solicitations.

Is the RFP Bidder designating Nominees at th ☐ No	is time?
	R OR THE OFFICER OF THE RFP BIDDER DESIGNATES SERT. The Nominee Insert is also labelled INSERT #P1-2
Name of RFP Bidder	
∅ NOMINEE INSERT (#P1-2)	
Please note! This insert is optional.	
individuals whose contact details are immed	he Representative of the RFP Bidder) authorize the diately below to receive communications from the of the RFP Bidder during the Proposal submission
Signature	Date
Contact Information for Nominee #1 First Name Last N Title Phone No. Cell Phone No.	
Contact Information for Nominee #2	

■
Title
Phone No. Cell Phone No. (optional) Email Address
Contact Information for Nominee #3
First Name Last Name
Title
Phone No. Cell Phone No. (optional) Email Address
Notes (optional)
The RFP Bidder may provide additional information here, such as the period during which a particular individual will act as Nominee or the individual that a Nominee is replacing.
particular murvidual will act as Normhee of the murvidual that a Normhee is replacing.

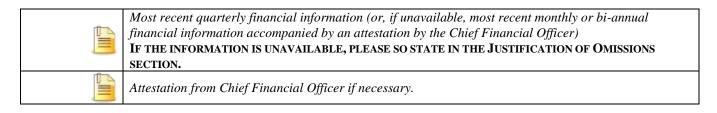
2.a. Information Required for RFP Bidders Relying on Their Own Financial Standing.

IF THE ENTITY UPON WHOSE FINANCIAL STANDING THE RFP BIDDER IS RELYING HAS CHANGED, YOU MUST USE THE STANDARD PART 1 FORM. PLEASE CONTACT THE INDEPENDENT EVALUATOR AT PECOPROCUREMENT@NERA.COM TO RECEIVE INSTRUCTIONS ON THE FORM THAT YOU SHOULD USE.

First Item: Financial Information

IF AVAILABLE, PLEASE PROVIDE THE MOST RECENT QUARTERLY FINANCIAL INFORMATION FOR THE RFP BIDDER, including a balance sheet, income statement, cash flow statement, and any accompanying notes and schedules:

- IF AVAILABLE, YOU MUST PROVIDE THE MOST RECENT SECURITIES AND EXCHANGE COMMISSION ("SEC") FORM 10-Q OR 10-K (WHICHEVER IS MORE RECENT) TO FULFILL THIS REQUIREMENT;
- IF THE SEC FORM 10-Q OR 10-K IS UNAVAILABLE, PLEASE PROVIDE, IF AVAILABLE, THE RFP BIDDER'S MOST RECENT QUARTERLY, MONTHLY, OR BI-ANNUAL FINANCIAL INFORMATION accompanied by an attestation by the Chief Financial Officer (or similar position) that the information contained in the financial statements fairly presents in all material respects the financial condition and results of the operations of the RFP Bidder. The requirements for this attestation are provided more specifically in Appendix 5 of the RFP Rules.



Second Item: Credit Ratings

Below is the information that you previously provided. **PLEASE MAKE ANY UPDATES TO THE CREDIT RATINGS FOR THE RFP BIDDER.** By submitting this form without making updates, you will be confirming that the previously provided information remains valid.

Is t	he RFP Bidder rated by S&P G	9 (
	⊠ Yes ⊔ N	No	
	RFP Bidder's rating: Type of rating (check one):	Senior unsecured debt rating	☐ Corporate issuer rating
Is t		o's Investors Service, Inc. ("Mood No	dy's")?

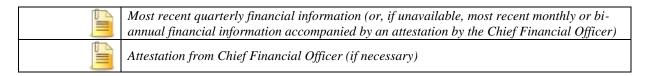
⊠ Yes □ No	itch")?		
RFP Bidder's rating: Type of rating (<u>check one</u>):	nior unsecured debt rating	Corporate issuer rating	
2.b. Information Required from RFI Guarantor	P Bidders Relying on t	he Financial Standing of a	n RFP
You previously elected the RFP Guaranto standing the RFP Bidder is relying. If THE IS RELYING HAS CHANGED, YOU MUST USE CORPORATE STRUCTURE OF THE RFP GUETHE STANDARD PART 1 FORM. IN PECOPROCUREMENT@NERA.COM TO RECORD	E ENTITY UPON WHOSE F THE STANDARD PART 1 I ARANTOR, THROUGH A M PLEASE CONTACT TH	INANCIAL STANDING THE RF FORM. IF THERE WAS A CHANG MERGER FOR EXAMPLE, YOU I TE INDEPENDENT EVALUA	P BIDDER GE IN THE MUST USE ATOR AT
First Item: Name and Address of RFP Guaranteers: Name and Address of RFP Guaranteers of R	ly provided. PLEASE MA ls form without making u		
Legal Name of RFP Guarantor			
[pre-populated]			
Street Address			
[pre-populated]			
[pre-populated]			
City	State	Zin Code	
City [pre-populated]	[pre-populated]	Zip Code [pre-populated]	
IF THE LEGAL NAME OF THE RFP GUARAN CHANGE.	-1 1 1		Œ

Second Item: Financial Information

Financial information must be available for the RFP Guarantor.

PLEASE PROVIDE THE MOST RECENT QUARTERLY FINANCIAL INFORMATION FOR THE RFP GUARANTOR, including a balance sheet, income statement, cash flow statement, and any accompanying notes and schedules:

- IF AVAILABLE, YOU MUST PROVIDE THE MOST RECENT SECURITIES AND EXCHANGE COMMISSION ("SEC") FORM 10-Q OR 10-K (WHICHEVER IS MORE RECENT) TO FULFILL THIS REQUIREMENT;
- IF THE SEC FORM 10-Q OR 10-K IS UNAVAILABLE, YOU MUST PROVIDE THE RFP GUARANTOR'S MOST RECENT QUARTERLY, MONTHLY, OR BI-ANNUAL FINANCIAL INFORMATION accompanied by an attestation by the Chief Financial Officer (or similar position) that the information contained in the financial statements fairly presents in all material respects the financial condition and results of the operations of the RFP Bidder. The requirements for this attestation are provided more specifically in Appendix 5 of the RFP Rules.



Third Item: Credit Ratings

Below is the information that you previously provided. **PLEASE MAKE ANY UPDATES TO CREDIT RATINGS FOR THE RFP GUARANTOR.** By submitting this form without making updates, you will be confirming that the previously provided information remains valid.

Is th	1e_RFP Guarantor rated b <u>y</u> S	S&P Global Ratings ("S&P")?	
	∑ Yes □	No	
	RFP Guarantor's rating: Type of rating (check one):	Senior unsecured debt rating	☐ Corporate issuer rating
Is th	he RFP Guarantor rated by M	Aoody's Investors Service, Inc. ("Mo No	Toody's")?
	RFP Guarantor's rating: Type of rating (check one):	Senior unsecured debt rating	☐ Corporate issuer rating
Is th	he RFP Guarantor rated by F Yes	Fitch, Inc. ("Fitch")? No	
	RFP Guarantor's rating: Type of rating (check one):	Senior unsecured debt rating	☐ Corporate issuer rating

2.c. Information Required from RFP Bidders Relying on the Financial Standing of an RFP Principal

You previously elected a Principal as the entity on whose financial standing the RFP Bidder is relying; the RFP Bidder is submitting a Proposal under an Agency Agreement. If the entity upon whose financial standing the RFP Bidder is relying has changed you must use the Standard Part 1 Form. Please contact the Independent Evaluator at PECOPROCUREMENT@nera.com TO RECEIVE INSTRUCTIONS ON THE FORM THAT YOU SHOULD USE.

AN RFP BIDDER SUBMITTING A PROPOSAL UNDER AN AGENCY AGREEMENT IS REQUIRED TO PROVIDE FINANCIAL INFORMATION REGARDING THE PRINCIPAL AS WELL AS OTHER INFORMATION REGARDING THE AGENCY AGREEMENT AS SPECIFIED IN THE RFP RULES. The Independent Evaluator provided to you, along with your login credentials, the P1 Agency Certifications Insert (#P1-3) and the Agency Agreement that you submitted previously (if any).

First Item: Principals to the Agency Agreement

Below is the information that you previously provided. **PLEASE MAKE ANY UPDATES TO THE NAME(S) OF THE PRINCIPAL(S).** By submitting this form without making updates, you will be confirming that the previously provided information remains valid.

Legal Nam	ne of Principal(s)		
[pre-popt	ulated]		
Second I	tem: Agency Agr	<u>reement</u>	
	Agency Agreeme Yes	ent changed since the RFP Bidder last submitted a successful Part 1 Pr	oposal?
	copy of the Age	ency Agreement is required. PLEASE UPLOAD ONE (1) COPY OF THE	2
		Agency Agreement	

Third Item: Principal on Whose Financial Standing the RFP Bidder is Relying

Below is the information that you previously provided regarding the Principal on whose financial standing the RFP Bidder is relying.

- If there are several Principals in the Agency Agreement, you must identify the Principal with the lowest credit rating.
- If several Principals have the same lowest credit rating, you must identify the Principal among these that also has the lowest tangible net worth.

PLEASE MAKE ANY NECESSARY UPDATES. By submitting this form without making updates, you will be confirming that the previously provided information remains valid.

Legal Name of RFP Principal			
[pre-populated]			
Street Address		1	
[pre-populated]			
[pre-populated]			
City	State	Zip Code	
[pre-populated]	[pre-populated]	[pre-populated]	
IF THE LEGAL NAME OF THE PRINCIPA	AL HAS CHANGED, MORE INFO	ORMATION MAY BE REQUIRED.	
Fourth Item: Financial Information			
Financial information must be avail is relying.	able for the Principal on wh	hose financial standing the RF	P Bidder
 ("SEC") FORM 10-Q OR 10-K IF THE SEC FORM 10-Q OR MOST RECENT QUARTERLY, M an attestation by the Chief Fit the financial statements fairly the operations of the RFP specifically in Appendix 5 of the statements. 	anying notes and schedules: OVIDE THE MOST RECENT SE (WHICHEVER IS MORE RECE 10-K IS UNAVAILABLE, YOU MONTHLY, OR BI-ANNUAL FIN nancial Officer (or similar porpresents in all material respective presents in all material respective presents in the requirements of the RFP Rules.	CCURITIES AND EXCHANGE COMENT) TO FULFILL THIS REQUIREM MUST PROVIDE THE RFP PRINANCIAL INFORMATION accomposition) that the information corrects the financial condition and for this attestation are provided	MMISSION MENT; NCIPAL'S panied by ntained in results of
	y financial information (or, if unave ormation accompanied by an attesta	ailable, most recent monthly or bi- ation by the Chief Financial Officer)	
Attestation from Chi	ef Financial Officer (if necessary)		
Fifth Item: Credit Ratings Below is the information that you prefor THE RFP PRINCIPAL. By submit previously provided information remains the Principal rated by S&P Global Yes No	ting this form without making ins valid.		
Principal's rating: Type of rating (check one):	☐ Senior unsecured debt rating	☐ Corporate issuer rating	

Is the Principal rated by Moody's Investors Service, Inc.	("Moody's")?
Principal's rating: Type of rating (<u>check one</u>): Senior unsecured defined to the senior unsecured to the senior unsecured defined to the senior unsecured defined to the senior unsecured to the se	ot rating Corporate issuer rating
Is the Principal rated by Fitch, Inc. ("Fitch")? ☑ Yes ☐ No	
Principal's rating: Type of rating (check one): Senior unsecured defined by the senior unsecured by the senior under the sen	ot rating
Sixth Item: Certification	
THE OFFICER OF THE RFP BIDDER SUBMITTING A IREQUIRED TO MAKE A CERTAIN REPRESENTATION BY (#P1-3). The P1 Agency Certifications Insert is also labelled Name of RFP Bidder	COMPLETING THE P1 AGENCY CERTIFICATIONS
P1 AGENCY CERTIFICATIONS (PREV QUAL)	INSERT (#P1-3)
Please note! Only RFP Bidders submitting a Proposa complete this Insert.	under an Agency Agreement are required to
I, (the Officer of the RFP Bidder), certify the under the Agency Agreement provided with this Part Proposal, the RFP Bidder will be required to submit each Principal and substantially in the form of Appen	1 Proposal. I acknowledge that with the Part 2 an Officers' Certificate signed by an officer of
Signature of Officer	Date
RFP Bidders that have submitted a successful Part 1 previously acknowledged that, with the Part 2 Proposal Officers' Certificate signed by an officer of each Princip	, the RFP Bidder will be required to submit an

Seventh Item: Draft Officers' Certificate

the RFP Rules.

The RFP Bidder may, but is not required to, submit a draft Officers' Certificate for evaluation. If a draft Officers' Certificate is submitted for evaluation, the Independent Evaluator will inform the RFP Bidder of any changes required.

Is the RFP Bidder submitting a draft Officers' Certificate?

\boxtimes	Yes				No
-------------	-----	--	--	--	----

PLEA	ASE UPLOAD THE	RFP Bidder's draft Officers' Certificate.	
		Draft Officers' Certificate	

3. Credit Instruments and Uniform SMA

First Item: Standard Pre-Bid Letter of Credit

An RFP Bidder may request modifications to the Standard Pre-Bid Letter of Credit that are non-material in nature, or that are advantageous to both PECO and the RFP Bidder.

AN RFP BIDDER REQUESTS MODIFICATIONS TO THE STANDARD PRE-BID LETTER OF CREDIT BY SUBMITTING A DRAFT PRE-BID LETTER OF CREDIT SUBSTANTIALLY IN THE FORM OF THE STANDARD PRE-BID LETTER OF CREDIT INDICATING CLEARLY ANY AND ALL MODIFICATIONS TO THE STANDARD PRE-BID LETTER OF CREDIT USING TRACKED CHANGES IN MICROSOFT WORD.

All approved modifications to the Standard Pre-Bid Letter of Credit are posted to the RFP website. Each RFP Bidder may use any of the approved modifications, regardless of whether the RFP Bidder itself or another RFP Bidder proposed the modification.

ano	other RFP Bidder proposed the modification.
	the RFP Bidder submitting a Draft Pre-Bid Letter of Credit? Yes No
	A Draft Pre-Bid Letter of Credit that is not substantially in the form of the Standard Pre-Bid Letter of Credit will not be considered or evaluated. PLEASE UPLOAD THE DRAFT PRE-BID LETTER OF CREDIT.
	Draft Pre-Bid Letter of Credit
Sec	cond Item: Standard Post-Bid Letter of Credit
	RFP Bidder may request modifications to the Standard Post-Bid Letter of Credit that are non-material in ture, or that are advantageous to both PECO and the RFP Bidder.
SUI Po	RFP BIDDER REQUESTS MODIFICATIONS TO THE STANDARD POST-BID LETTER OF CREDIT BY BMITTING A DRAFT POST-BID LETTER OF CREDIT SUBSTANTIALLY IN THE FORM OF THE STANDARD OST-BID LETTER OF CREDIT INDICATING CLEARLY ANY AND ALL MODIFICATIONS TO THE STANDARD OST-BID LETTER OF CREDIT USING TRACKED CHANGES IN MICROSOFT WORD.
RF	l approved modifications to the Standard Post-Bid Letter of Credit are posted to the RFP website. Each FP Bidder may use any of the approved modifications, regardless of whether the RFP Bidder itself or other RFP Bidder proposed the modification.
	the RFP Bidder submitting a Draft Post-Bid Letter of Credit? Yes No
	A Draft Post-Bid Letter of Credit that is not substantially in the form of the Standard Post-Bid Letter of Credit will not be considered or evaluated. PLEASE UPLOAD THE DRAFT POST-BID LETTER OF CREDIT.
	Draft Post-Bid Letter of Credit

Third Item: Standard Guaranty

An RFP Bidder may request modifications to the Standard Guaranty that are non-material in nature, or that are advantageous to both PECO and the RFP Bidder.

AN RFP BIDDER REQUESTS MODIFICATIONS TO THE STANDARD GUARANTY BY SUBMITTING A DRAFT GUARANTY SUBSTANTIALLY IN THE FORM OF THE STANDARD GUARANTY INDICATING CLEARLY ANY AND ALL MODIFICATIONS TO THE STANDARD GUARANTY USING TRACKED CHANGES IN MICROSOFT WORD.

All approved modifications to the Standard Guaranty are posted to the RFP website. Each RFP Bidder may use any of the approved modifications, regardless of whether the RFP Bidder itself or another RFP Bidder proposed the modification.

Is the RFP Bidder submitting a Draft Guaranty? Yes
A Draft Guaranty that is not substantially in the form of the Standard Guaranty will not be considered or evaluated. PLEASE UPLOAD THE DRAFT GUARANTY.
Draft Standard Guaranty
Fourth Item: Information to Prepare the Uniform SMA and Its Exhibits
Is the RFP Bidder a Default Supplier serving tranches won in a solicitation under DSP IV? Yes No If Yes, please proceed to the Section 4. If No, please provide the information required by this section.
RFP BIDDERS ARE ASKED TO PROVIDE ALL INFORMATION NEEDED TO PREPARE THE UNIFORM SMA AND ITS EXHIBITS. AN RFP BIDDER THAT DOES NOT PROVIDE THIS INFORMATION MUST INSTEAD SUBMIT AN ACKNOWLEDGMENT SIGNED BY THE OFFICER OF THE RFP BIDDER.
Is the RFP Bidder providing information to prepare the Uniform SMA at this time? Yes No
Yes THE RFP BIDDER PROVIDES THE INFORMATION TO PREPARE THE UNIFORM SMA AND ITS EXHIBITS BY COMPLETING THE UNIFORM SMA INSERT. The Uniform SMA Insert is also labelled INSERT #P1-4.
The Independent Evaluator provided to you, along with your login credentials, the Uniform SMA Insert that you submitted previously. You may make any updates to this document. By submitting this form of the Uniform SMA Insert (#P1-4) without making updates, you will be confirming that the previously provided information remains valid.
Name of RFP Bidder

Ø U	NIFORM SN	MA INSERT (#P1-4)		
Please	e note! RFP	Bidders must submit one (but (ONLY one) of Insert #P	P1-4 and Insert #P1-5.
	Under Section 5.4(a)(i) of the Uniform SMA, the DS Supplier may, in its sole discretion, add the following subsection 5.4(a)(i) by indicating yes or no below.		its sole discretion, add the	
	Agree the ten been of calend	(i) For the purposes of such determent for the period following the rm of this Agreement shall be dedelivered on an hourly basis, had lar year adjusted for such DS ous calendar year.	ne Early Termination Date emed to be those quantited this Agreement been in	through the remainder of y amounts that would have a effect during the previous
	Do you inten Yes	d for subsection 5.4(a)(i) to be i	ncluded as part of the U	niform SMA?
	the Uniform	ion that you provide below will SMA. IF ANY OF THE INFORMATOR THE CORRESPONDING FIELDS.		
	(a)	All Notices:		
		First Name	Last Name	
		Street Address		
	(b)	City Phone No. DUNS	State Fax No. Federal Tax I.D. Number	Zip Code
	(b)	Invoices: ATTN: First Name Phone No.	Last Name Fax No.	
	(c)	Schedule: First Name	Last Name	

	Phone No. Fax No.
(d)	Payments: ATTN: First Name Last Name Phone No. Fax No.
(e)	Wire Transfer: **Bank*** ABA ACCT*** **ACCT*** **ACCT*** **ACCT*** **ACCT** **ACCT* **A
(f)	Credit and Collections: ATTN: First Name Last Name Phone No. Fax No.
(g)	Additional Notices of an Event of Default to: ATTN: First Name Last Name Phone No. Fax No.

⊠ No

THE OFFICER OF THE RFP BIDDER PROVIDES THE REQUIRED ACKNOWLEDGMENT BY COMPLETING THE DELAY (SMA) INSERT. The Delay (SMA) Insert is also labelled INSERT #P1-5.

Name of RFP Bidder
Ø DELAY (SMA) INSERT (#P1-5)
Please note! RFP Bidders must submit one (but ONLY one) of Insert #P1-4 and Insert #P1-5.
I, (the Officer of the RFP Bidder), acknowledge that, if the Independent Evaluator notifies the RFP Bidder that the Independent Evaluator is identifying one or more of the RFP Bidder's Bids to the Commission as winning Bids, the RFP Bidder must provide all information required by the Uniform SMA Insert (#P1-4) by 12 PM (noon) EPT on the day after such notification is received.
Signature of Officer Date

4. Representations

The Officer of the RFP Bidder must make a number of representations and certifications, which may include any or all of those detailed in Paragraphs IV.4.1, IV.4.2, and IV.4.3 of the RFP Rules. Is the RFP Bidder a Default Supplier serving tranches won in a solicitation under DSP IV? **Yes** No X Yes THE OFFICER OF THE RFP BIDDER MAKES SUCH CERTIFICATIONS BY COMPLETING THE P1 CERTIFICATIONS (A) INSERT. The P1 Certifications (Default Supplier) Insert is also labelled INSERT #P16. THE SIGNATURE OF THE OFFICER OF THE RFP BIDDER MUST BE NOTARIZED. Name of RFP Bidder P1 CERTIFICATIONS (A) INSERT (#P1-6) An RFP Bidder found to be acting in concert with another RFP Bidder may be disqualified by the **Independent Evaluator.** I certify that: (1) I am an officer, a director, or an individual otherwise authorized to undertake contracts (including the PECO Energy Company - Pennsylvania Default Service Supplier Master Agreement) and bind the RFP Bidder. (2) All information provided in this Part 1 Proposal is true and accurate to the best of my knowledge and belief. (3) If, for any reason or due to any circumstance, any information provided in this Part 1 Proposal changes or any previous certification fails to remain valid before the sixth business day after the Bid Date, I or the Representative will notify the Independent Evaluator of such changes as soon as practicable but in no event later than one (1) day before the Bid Date. (4) This Part 1 Proposal will remain valid and remain in full force and effect until six (6) business days after the Bid Date. (5) The RFP Bidder is not part of a bidding agreement, a joint venture for purposes of participating in any solicitation for this RFP, a bidding consortium, or any other type of agreement related to bidding in any solicitation of this RFP.

Signature of Officer	Date
	zuc
Printed Name	Date
Signature and Seal from Notary Public	Date

⊠ No

THE OFFICER OF THE RFP BIDDER MAKES SUCH CERTIFICATIONS BY COMPLETING THE P1 CERTIFICATIONS (A) INSERT AND P1 CERTIFICATIONS (C) INSERT. These inserts are also labelled INSERT #P1-6 and INSERT #P1-8, respectively.

THE SIGNATURE OF THE OFFICER OF THE RFP BIDDER MUST BE NOTARIZED.

Name of RFP Bidder

P1 CERTIFICATIONS (A) INSERT (#P1-6)

An RFP Bidder found to be acting in concert with another RFP Bidder may be disqualified by the Independent Evaluator.

I certify that:

- (6) I am an officer, a director, or an individual otherwise authorized to undertake contracts (including the PECO Energy Company Pennsylvania Default Service Supplier Master Agreement) and bind the RFP Bidder.
- (7) All information provided in this Part 1 Proposal is true and accurate to the best of my knowledge and belief.
- (8) If, for any reason or due to any circumstance, any information provided in this Part 1 Proposal changes or any previous certification fails to remain valid before the sixth business day after the Bid Date, I or the Representative will notify the Independent Evaluator of such changes as soon as practicable but in no event later than one (1) day before the Bid Date.
- (9) This Part 1 Proposal will remain valid and remain in full force and effect until six (6) business days after the Bid Date.
- (10) The RFP Bidder is not part of a bidding agreement, a joint venture for purposes of participating in any solicitation for this RFP, a bidding consortium, or any other type of agreement related to bidding

	in any solicitation of this RFP.		
			
	Signature of Officer	Date	
	Printed Name	Date	
	Signature and Seal from Notary Public	Date	
Name o	of RFP Bidder		
Ø P	1 CERTIFICATIONS (C) INSERT (#P1-8)		
	An RFP Bidder found to be acting in concert with another RFP Bidder may be disqualified by the Independent Evaluator.		
I certi	ify that:		
(1)	The RFP Bidder has no actions at law, suits in equity Bidder's knowledge, threatened against it before any governmental agency or authority that might materia performance of its obligations under the Uniform SM	federal, state, foreign or local court, tribunal or ally delay, prevent or hinder the RFP Bidder's	
(2)	The RFP Bidder is not bankrupt or insolvent and contemplated by it or, to its knowledge, threatened becoming bankrupt or insolvent.		
(3)	If the Independent Evaluator notifies the RFP Bidder one or more of the RFP Bidder's Bids to the Commis for the preparation of the Uniform SMA and its Exhib (noon) EPT on the day after such notification is received.	sion as winning Bids, all information required bits must be submitted and complete by 12 PM	
	Signature of Officer	Date	

Printed Name	Date
Signature and Seal from Notary Public	Date

5. Foreign RFP Bidders and Foreign Entities

Is the RFP Bidder a F	oreign Entity, or is the RFP Bidder relying on the financial standing of a Foreign
Entity as RFP Guaran	tor, or is the RFP Bidding submitting a Proposal under an Agency Agreement with
a Foreign Entity as Pr	incipal?
☐ Yes	\bigcap No

Yes

X Yes

AN RFP BIDDER THAT IS A FOREIGN RFP BIDDER, OR THAT IS RELYING ON THE FINANCIAL STANDING OF A FOREIGN ENTITY AS RFP GUARANTOR, OR RFP BIDDERS SUBMITTING A PROPOSAL UNDER AN AGENCY AGREEMENT WITH A FOREIGN ENTITY AS PRINCIPAL IS REQUIRED TO PROVIDE ADDITIONAL INFORMATION AS SPECIFIED IN THE SECTION IV.5 OF THE RFP RULES BY COMPLETING THE APPLICABLE INSERT AND UPLOADING ASSOCIATED DOCUMENTS BELOW. An RFP Bidder that is a Foreign RFP Bidder is required to complete the P1 Foreign Bidder Insert, also labelled INSERT #P1-10. An RFP Bidder relying on the financial standing of a Foreign RFP Guarantor is required to complete the P1 Foreign Guarantor Insert, also labelled INSERT #P1-11. An RFP Bidder submitting a Proposal under an Agency Agreement with a Foreign Entity as Principal is required to complete the P1 Foreign Principal Insert, also labelled INSERT #P1-12.

0	Insert (P1 Foreign Bidder Insert #P1-10, P1 Foreign Guarantor Insert #P1-11, OR P1 Foreign Principal Insert #P1-12)
	Additional Evidence of Creditworthiness
	Additional Evidence of Creditworthiness
	Draft legal opinion
	Draft sworn certificate
	Draft sworn certificate

Name of RFP Bidder



P1 FOREIGN BIDDER INSERT (#P1-10)

Please note! ONLY Foreign RFP Bidders are required to submit this Insert.

First Item: Evidence of Creditworthiness

In addition to supplying all required information and documents elsewhere in the online Part 1 Form, a Foreign RFP Bidder may provide any additional evidence of creditworthiness for the Foreign RFP Bidder so as to provide PECO with comparable assurances of creditworthiness as is applicable for an entity that has been incorporated or otherwise formed under the laws of a state of the United States or of the District of Columbia.

Are you submitting additional evidence of creditworthiness for the Foreign RFP Bidder?

☐ Yes ☐ No
PLEASE UPLOAD SUCH ADDITIONAL EVIDENCE OF CREDITWORTHINESS IN THE SPACES RESERVED FOR THAT PURPOSE IN THE ONLINE PART 1 FORM.
Second Item: Acknowledgment
THE OFFICER OF THE RFP BIDDER MUST MAKE THE FOLLOWING REPRESENTATION.
I, (the Officer of the RFP Bidder), acknowledge that the following additional documents are required with the Part 2 Proposal: (i) a legal opinion of outside counsel qualified to practice in the foreign jurisdiction in which the Foreign RFP Bidder is incorporated or otherwise formed that the Uniform SMA is, or upon the completion of execution formalities will become, the binding obligation of the Foreign RFP Bidder in the jurisdiction in which it has been incorporated or otherwise formed; (ii) the sworn certificate of the corporate secretary (or similar officer) of such Foreign RFP Bidder that the person executing the Uniform SMA on behalf of the Foreign RFP Bidder has the authority to execute the Uniform SMA and that the governing board of such Foreign RFP Bidder has approved the execution of the Uniform SMA; and (iii) the sworn certificate of the corporate secretary (or similar officer) of such Foreign RFP Bidder that the Foreign RFP Bidder has been authorized by its governing board to enter into agreements of the same type as the Uniform SMA.
Signature of Officer Date
Third Item: Draft Documents
The Officer of the RFP Bidder has acknowledged in the immediately preceding certifications that additional documents are required with the Part 2 Proposal. THE RFP BIDDER MAY, BUT IS NOT REQUIRED TO, SUBMIT A DRAFT OF THESE DOCUMENTS BY UPLOAD TO THE ONLINE PART 1 FORM.
Are you submitting a draft of any of these additional documents for evaluation? No
PLEASE UPLOAD ANY SUCH DOCUMENTS IN THE SPACES RESERVED FOR THAT PURPOSE IN THE ONLINE PART 1 FORM.
Name of RFP Bidder
Ø P1 FOREIGN GUARANTOR INSERT (#P1-11)
<u>Please note!</u> ONLY RFP Bidders relying on a Foreign RFP Guarantor are required to submit this Insert.

<u>First Item: Evidence of Creditworthiness</u>
In addition to supplying all required information and documents elsewhere in the online Part 1 Form, an RFP Bidder relying on the financial standing of a Foreign RFP Guarantor may provide any additional evidence of creditworthiness for the Foreign RFP Guarantor so as to provide PECO with comparable assurances of creditworthiness as is applicable for an entity that has been incorporated or otherwise formed under the laws of a state of the United States or of the District of Columbia.
Are you submitting additional evidence of creditworthiness for the Foreign RFP Guarantor? \[\sum \text{Yes} \] \[\sum \text{No} \]
PLEASE UPLOAD SUCH ADDITIONAL EVIDENCE OF CREDITWORTHINESS IN THE SPACES RESERVED FOR THAT PURPOSE IN THE ONLINE PART 1 FORM.
Second Item: Acknowledgment
THE OFFICER OF THE RFP BIDDER MUST MAKE THE FOLLOWING REPRESENTATION.
I, (the Officer of the RFP Bidder), acknowledge that the following additional documents are required with the Part 2 Proposal for the Foreign Entity as RFP Guarantor to be granted unsecured credit and for the RFP Bidder to rely on the financial standing of the RFP Guarantor under the terms of the Uniform SMA: (i) a legal opinion of outside counsel qualified to practice in the foreign jurisdiction in which the RFP Guarantor is incorporated or otherwise formed that the guaranty pursuant to the Uniform SMA is, or upon the completion of execution formalities will become, the binding obligation of the RFP Guarantor in the jurisdiction in which it has been incorporated or otherwise formed; and (ii) the sworn certificate of the corporate secretary (or similar officer) of such RFP Guarantor that the person executing the guaranty pursuant to the Uniform SMA on behalf of the RFP Guarantor has the authority to execute the guaranty pursuant to the Uniform SMA and that the governing board of such RFP Guarantor has approved the execution of the guaranty pursuant to the Uniform SMA; and (iii) the sworn certificate of the corporate secretary (or similar officer) of such RFP Guarantor that the RFP Guarantor has been authorized by its governing board to enter into agreements of the same type as the guaranty pursuant to the Uniform SMA.
Signature of Officer Date
Third Item: Draft Documents
The Officer of the RFP Bidder has acknowledged in the immediately preceding certifications that additional documents are required with the Part 2 Proposal for unsecured credit to be granted under the terms of the Uniform SMA. THE RFP BIDDER MAY, BUT IS NOT REQUIRED TO, SUBMIT A DRAFT OF THESE DOCUMENTS BY UPLOAD TO THE ONLINE PART 1 FORM.
Are you submitting a draft of any of these additional documents for evaluation? Yes No
PLEASE UPLOAD ANY SUCH DOCUMENTS IN THE SPACES RESERVED FOR THAT PURPOSE IN THE ONLINE PART 1 FORM.

Name of RFP Bidder					
P1 FOREIGN PRINCIPAL INSERT (#P1-12)					
<u>Please note!</u> ONLY RFP Bidders submitting a Proposal under an Agency Agreement with a Foreign Entity as Principal are required to submit this Insert.					
First Item: Evidence of Creditworthiness					
In addition to supplying all required information and documents elsewhere in the online Part 1 Form, an RFP Bidder submitting a Proposal under an Agency Agreement with a Foreign Entity as RFP Principal may provide any additional evidence of creditworthiness for the RFP Principal so as to provide PECO with comparable assurances of creditworthiness as is applicable for an entity that has been incorporated or otherwise formed under the laws of a state of the United States or of the District of Columbia.					
Are you submitting additional evidence of creditworthiness for the Foreign Principal? \square Yes					
PLEASE UPLOAD SUCH ADDITIONAL EVIDENCE OF CREDITWORTHINESS IN THE SPACES RESERVED FOR THAT PURPOSE IN THE ONLINE PART 1 FORM.					
Second Item: Acknowledgment					
THE OFFICER OF THE RFP BIDDER MUST MAKE THE FOLLOWING REPRESENTATION.					
I, (the Officer of the RFP Bidder), acknowledge that the following additional documents are required with the Part 2 Proposal: (i) a legal opinion of outside counsel qualified to practice in the foreign jurisdiction in which the Principal is incorporated or otherwise formed that the Uniform SMA is, or upon the completion of execution formalities will become, the binding obligation of the Principal in the jurisdiction in which it has been incorporated or otherwise formed; and (ii) the sworn certificate of the corporate secretary (or similar officer) of such Principal that the Principal has been authorized by its governing board to enter into agreements of the same type as the Uniform SMA.					
Signature of Officer Date					
Third Item: Draft Documents					
The Officer of the RFP Bidder has acknowledged in the immediately preceding certifications that additional documents are required with the Part 2 Proposal. THE RFP BIDDER MAY, BUT IS NOT REQUIRED TO, SUBMIT A DRAFT OF THESE DOCUMENTS BY UPLOAD TO THE ONLINE PART 1 FORM.					
Are you submitting a draft of any of these additional documents for evaluation? No					

PLEASE UPLOAD ANY SUCH DOCUMENTS IN THE SPACES RESERVED FOR THAT PURPOSE IN THE ONLINE PART 1 FORM.



The requirements of this section do not apply to you.

6. Justification of Omissions

ify fully any omissions in the space provided below.					

If you are unable to provide all documents or information required with this online Part 1 Form, please

If you are providing additional documents, such as providing the Part 2 Form certifications for early processing, please use the spaces below to upload these documents.

0	File upload
0	File upload
10	File upload